

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Wong et al

**SERIAL NO.:** 

10/765,562

FILING DATE:

1/26/04

TITLE:

SYSTEM AND METHOD FOR SENDING DATA TO A MOBILE

DEVICE IN A WIRELESS NETWORK

**EXAMINER:** 

Unassigned

ART UNIT:

2681

#### **Certificate of Mailing**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP: Office of Initial Patent Examination, P.O. Box 1450 Alexandria, VA 22313-1450, on the date printed below:

Date: November

2004

Signature:

Ruth Rodriguez

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**Commissioner for Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

### PATENT PRACTITIONERS TO BE MADE OF RECORD

Please recognize the following <u>ten</u> patent practitioners in the attached Declaration and Power of Attorney as being of record in the application or patent to which the power of attorney is directed:

Robert E. Krebs, Reg. No. 25,885 Marc S. Hanish, Reg. No. 42,626 Khaled Shami, Reg. No. 38,745 John P. Schaub, Reg. No. 42,125 William Winters, Reg. No. 42,232 Adrienne Yeung, Reg. No. 44,000 Thierry K. Lo, Reg. No. 49,097 Hal J. Bohner, Reg. No. 27,856 Yukiko Maekawa, Reg. No. 50,307 Masako Ando, (37 C.F.R.§10.9 (b)) The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted, THELEN REID & PRIEST LLP

Dated: November 12, 2004

Khaled Shami Reg. No. 38,745

THELEN REID & PRIEST LLP P.O. Box 640640 San Jose, CA 95164-0640 Telephone: (408) 292-5800

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## **DECLARATION & POWER OF ATTORNEY**

As a below-named inventor, I hereby declare that:

My correct city and state of residence, my post office address and my citizenship are stated below next to my name.

I believe myself to be the original, first and sole inventor (if only one name is listed below) or an original and first joint inventor (if more than one name is listed below) of the subject matter which is disclosed and claimed and for which a patent is sought on the invention entitled:

# "SYSTEM AND METHOD FOR SENDING DATA TO A MOBILE DEVICE IN A WIRELESS NETWORK"

		IN A WIRELESS NETWOR	K"		
The specific	cation of this subje	ct matter:			
	is attached hereto.				
was filed on January 26, 2004;					
	was assigned	serial No. 10/765,562;			
	which was am	nended on;			
my invention the sale in the L has not been application in representation design pater  I acl application in the patent or inventor's	re that the claimed in thereof, or patent ereof or more than United States of Amn patented or made in any country foreignes or assigns most application) prior knowledge the duty in accordance with reby claim foreign pentor's certificate licertificate having a	is, as amended by any amendment(s) invention was ever known or used it invented public one year prior to this application, that is the subject of an inventor's certification or the United States of America or than twelve months (for a utility part to this application.  If to disclose information which is mainly to disclose information w	n the United atton in any at the same is application ate issued but an application an application appl	States country was not n, and the efore the tion filed tion) or sexaminates	of America before before my in public use or on nat the invention e date of this d by me or my legal six months (for a
PRIOR FOR	EIGN APPLICATION	<u>ON(S)</u>			<b>Priority Claimed</b>
Number	Country	Month/Day/Year Filed	Yes	No	
Number	Country	Month/Day/Year Filed	Yes	No	
Number	Country	Month/Day/Year Filed	Yes	No	

### PROVISIONAL PATENT APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/443,114

January 27, 2003

**Application Number** 

Filing Date

### PARENT PATENT APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in these prior United States application(s) in the manner provided by 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. §1.56(a) which occurred between the filling date of the prior application(s) and the national or PCT international filing date of this application.

Application No.	Filing Date	Status (Issued, Pending, Abandoned)
Application No.	Filing Date	Status (Issued, Pending, Abandoned)
Application No.	Filing Date	Status (Issued, Pending, Abandoned)
Application No.	Filing Date	Status (Issued, Pending, Abandoned)

I hereby appoint David B. Ritchie, Registration No. 31,562; Robert E. Krebs, Registration No. 25,885; Marc S. Hanish, Registration No. 42,626; John P. Schaub, Registration No. 42,125; Adrienne Yeung, Registration No. 44,000; Steven J. Robbins, Registration No. 40,299; Thierry K. Lo. Registration No. 49,097; William Samuel Niece, Registration No.: 47,824; Khaled Shami Registration No. 38,745; William E. Winters, Registration No. 42,232, Masako Ando, (37 C.F.R.§10.9 (b)); Yukiko Maekawa Registration No. 50,307 and John Klaas Uilkerna, Registration No. 20,282; Becky L. Troutman, Registration No. 36,703; Hal J. Bohner, Registration No. 27,856; as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith. If this application is assigned by me I agree and understand that the above-named attorneys will represent the assignee and not me.

Please send all correspondence and direct all telephone calls to:

Robert E. Krebs Thelen Reid & Priest LLP P.O. Box 640640 San Jose, CA 95164-0640 Telephone: (408) 292-5800

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I, the undersigned, declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

FULL NAME OF INVENTOR 1	FIRST Name	MIDDLE Initial(s)	LAST Name	
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I further declare that all statements made herein of my own knowledge are true and that all statements made upon information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.				
Daniel Wong		Date	·····	<del></del>
Gajendra Shukla		Date		
Hilton	Hoy	8/28/04		
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# 37 C.F.R. §1.56 Duty to disclose information material to patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1,97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
    - (2) It refutes, or is inconsistent with, a position the applicant takes in:
      - (i) Opposing an argument of unpatentability relied on by the Office, or
      - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or

prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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**TRANSMITTAL FORM** 

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/765,562
Filing Date	1/26/04
First Named Inventor	Wong et al
Art Unit	2681
Examiner Name	Unassigned
Attorney Docket Number	034421-000177

ENCLOSURES (check all that apply)					
Fee Transmittal Form	☐ Drawing(s)	After Allowance Communication to TC			
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Petition -	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final	Petition to Convert to a Provisional Application	Proprietary Information			
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Addr	ess Status Letter			
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):			
Express Abandonment Request	Request for Refund CD, Number of CD(s)	Replacement Correctioin To Filing Receipt, Patent Practitioners To Be Made Of Record, Postcard			
☐ Information Disclosure Statement	☐ Landscape Table on CD				
Certified Copy of Priority Document(s)	Remarks				
Reply to Missing Parts/ Incomplete Application					
Reply to Missing Parts under 37 CFR1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm	THELEN REID & PRIEST, LLP				
Signature	W S				
Printed Name	Khaled Shami				
Date	Novemer 12 , 2004	Reg. No. 38,745			
CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being faesimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
Signature CONDUC					
Typod or printed name   Buth Bo	The state of the s	Date November (a.) 2004			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 SFR 1.1/1 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.